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# Neonatal Sepsis in Low-resource Settings:

*what's the problem??*

*Susan Coffin, MD, MPH*

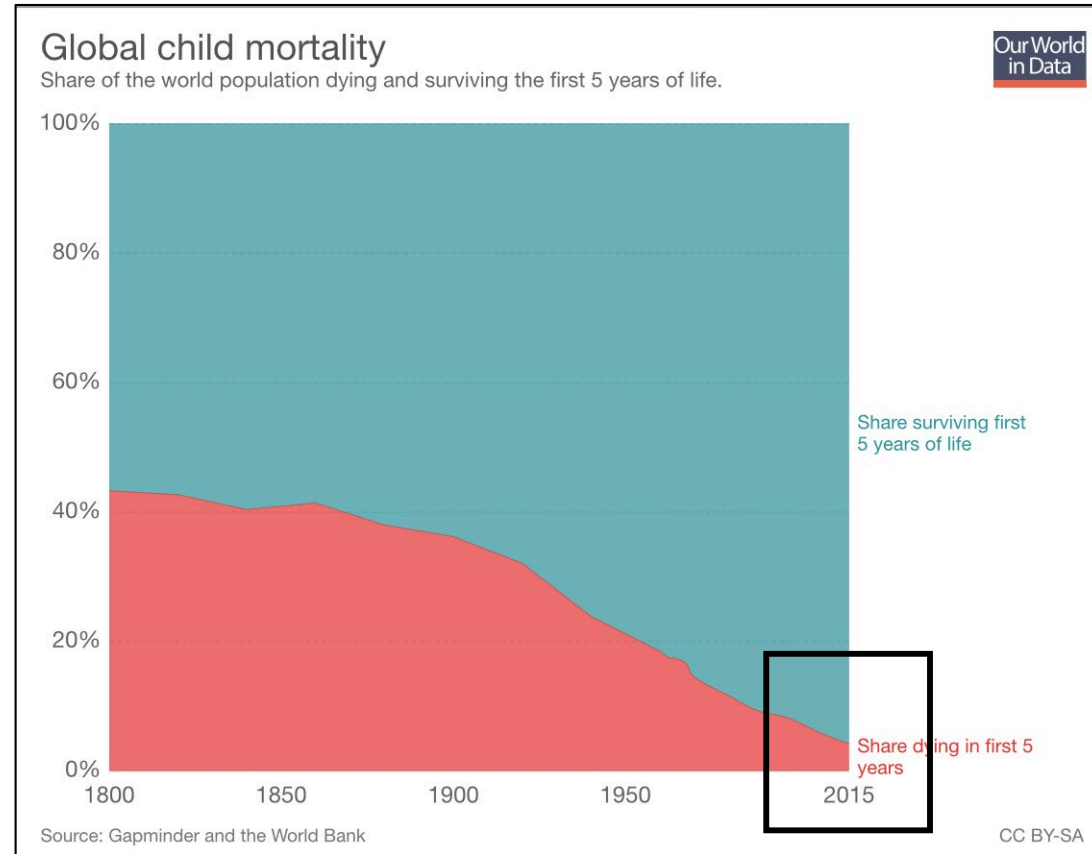
*UPenn School of Medicine*

*Children's Hospital of Philadelphia*

*October 2023*



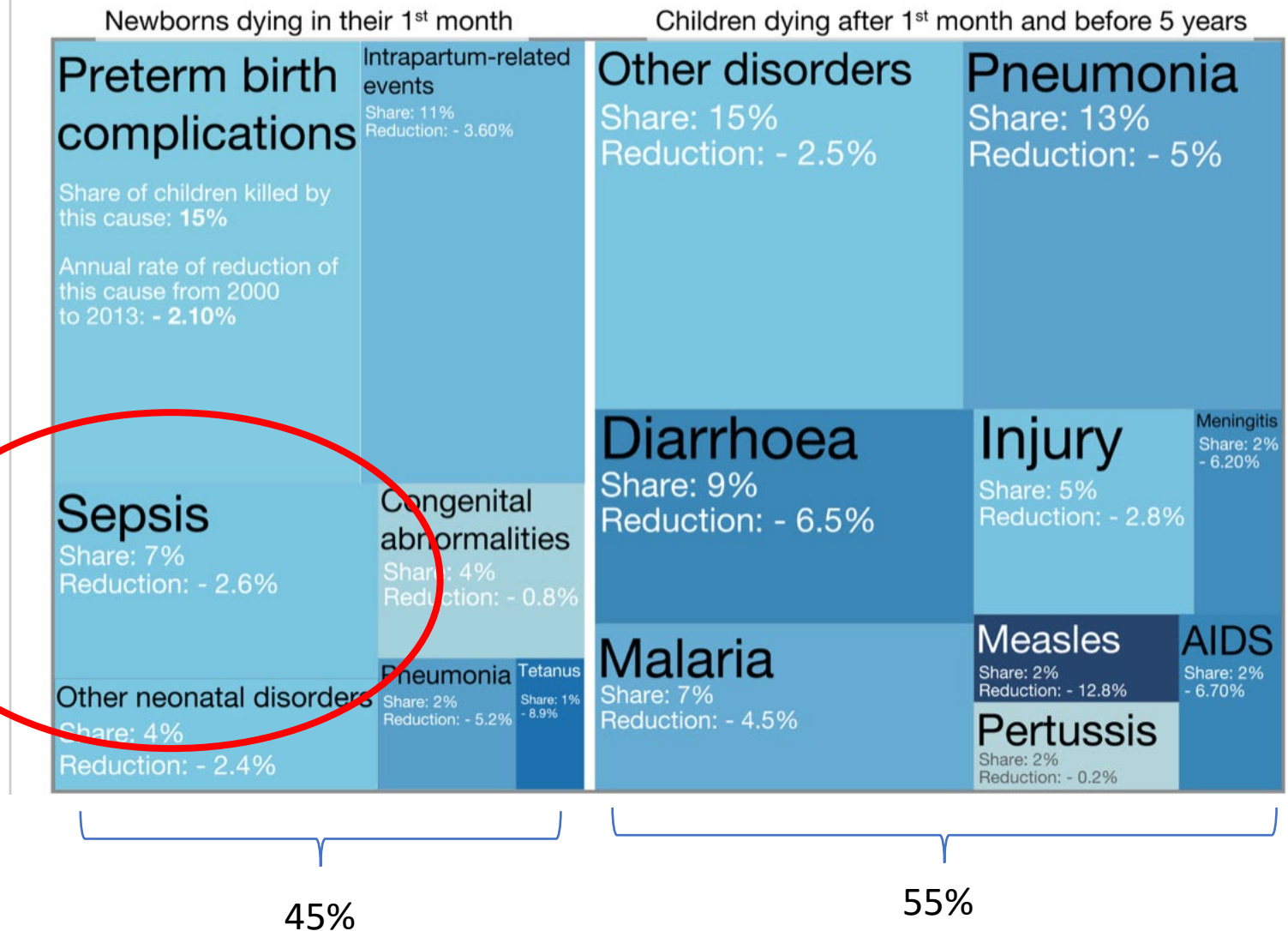
# Steady Improvements in Child Survival



# Causes of Child Mortality, 2013 (annual rate of reduction 2000-2013)

Sepsis + other infections  
= 1/3 neonatal deaths

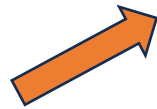
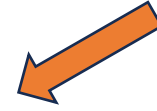
<3% reduction over 13 yrs

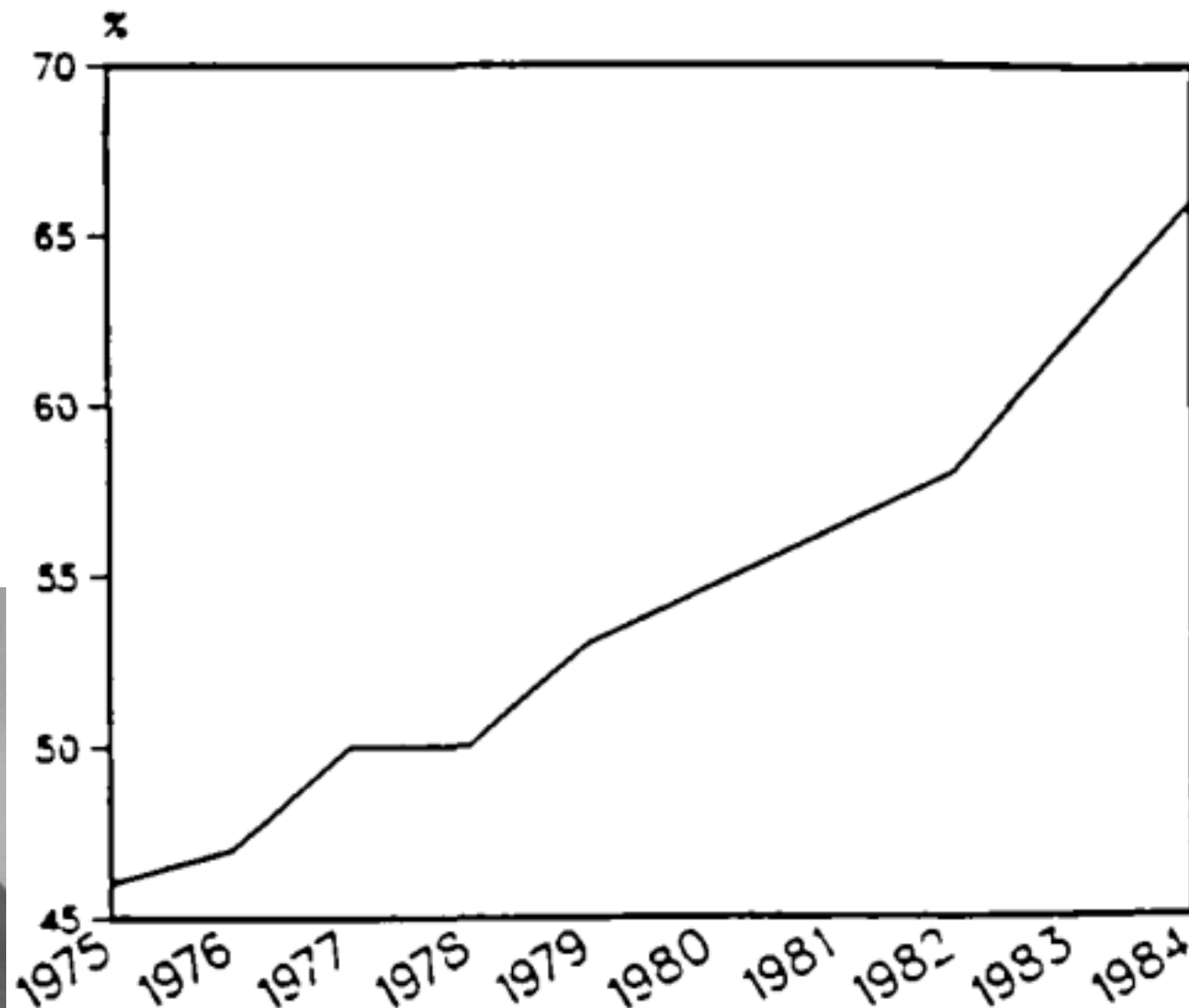


# Objectives

- Describe the conspiracy of forces that drives neonatal sepsis in LMIC
- Examine the microbiology of neonatal sepsis in LMIC
- Review possible interventions

# The Conspiracy that Drives Neonatal Sepsis





**Figure 1.** Percentage of supervised deliveries in Botswana from 1975-84 (*Source:* Botswana Ministry of Health, Family Health Division, 1985)

# Rising Proportion of Babies Born in Facilities

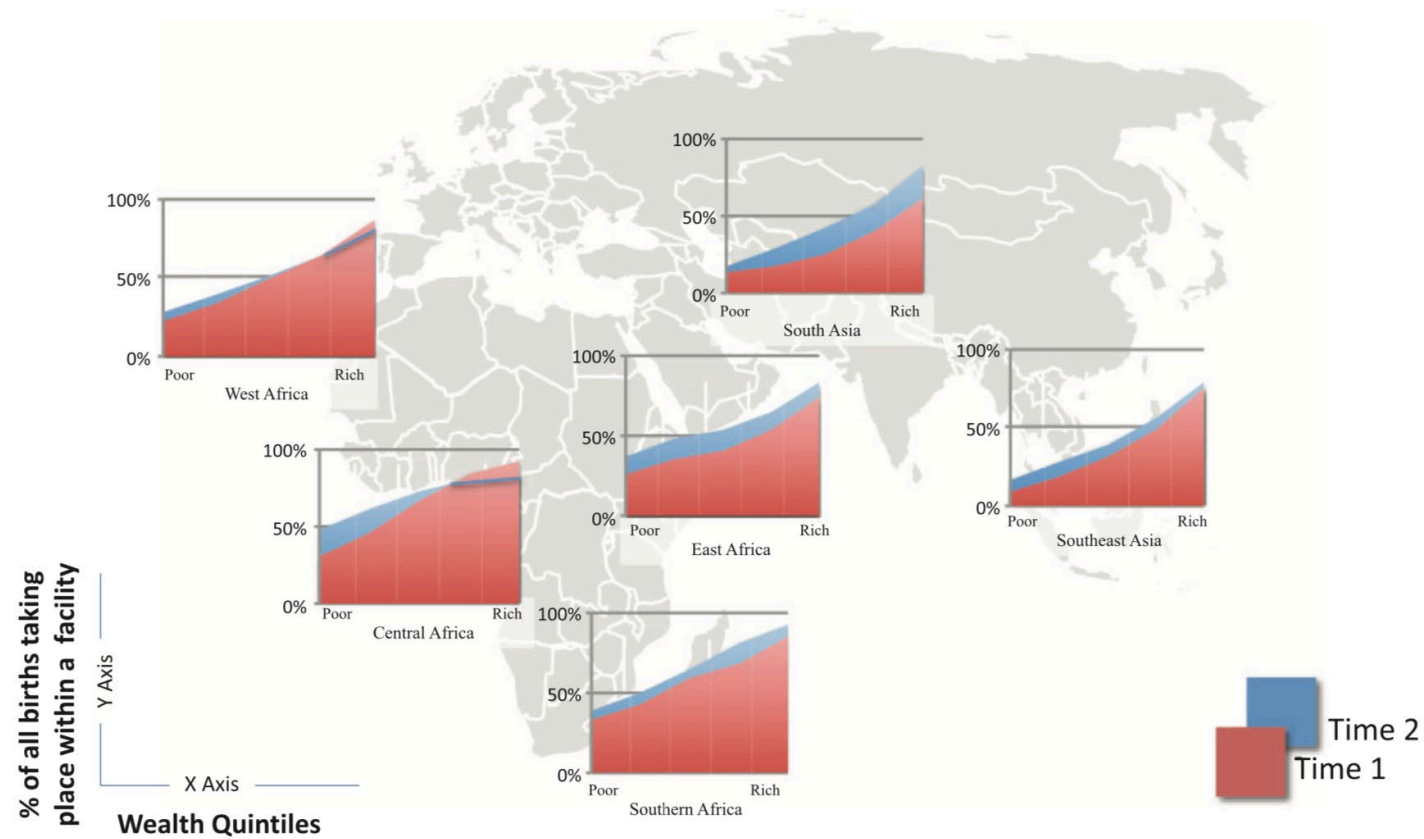


Figure 1. Trends in health facility deliveries across regions, by wealth quintiles (Source: Demographic and Health Surveys)

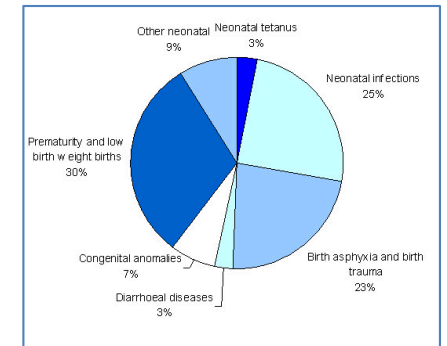


# What's Going On...

- Initiatives to reduce maternal and neonatal mortality caused unique stresses
  - Majority of maternal mortality attributed to lack of skilled clinical care during and immediately after birth

➤ **Solution: Facility births!**

- But...
  - Insufficient resources to accommodate rapid increases in demand for hospital-based births



# Related Stresses

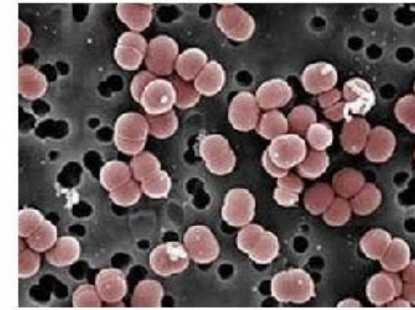
- “Neonatal intensive care units” in resource limited settings may have similar goals but lack similar resources as compared to high-resource settings

Prematurity

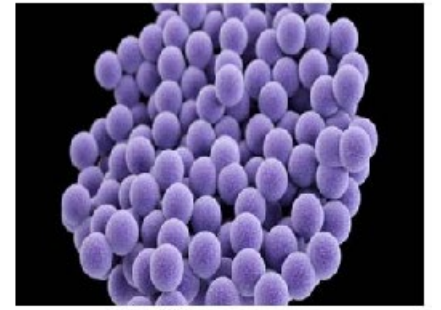
Perinatal injuries (birth asphyxia)

Congenital anomalies

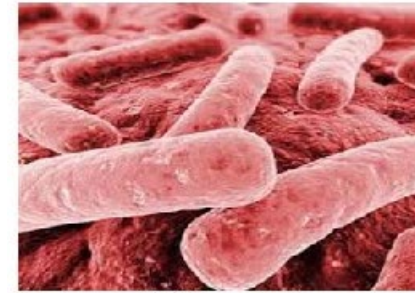
- Need for prolonged periods of in-hospital care exposes many more babies to complications of hospitalization



*Enterococcus Faecium*



*Staphylococcus aureus*



*Klebsiella pneumoniae*



*Acinetobacter baumannii*

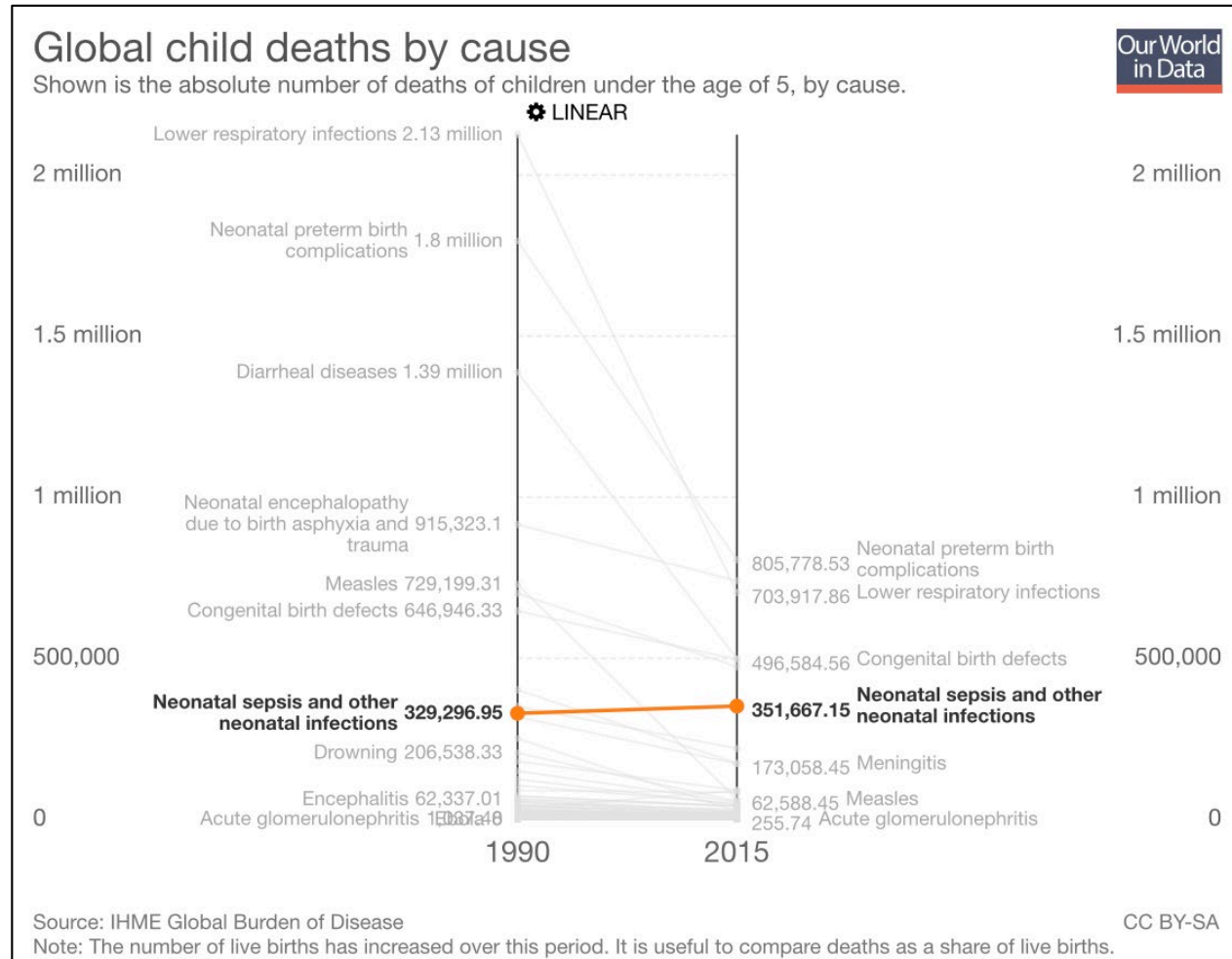


*Pseudomonas aeruginosa*



*Enterobacter*

# More Babies Hospitalized → More Babies at Risk of Neonatal Sepsis



Bacteremia and lower respiratory tract infections account for >80% of neonatal sepsis

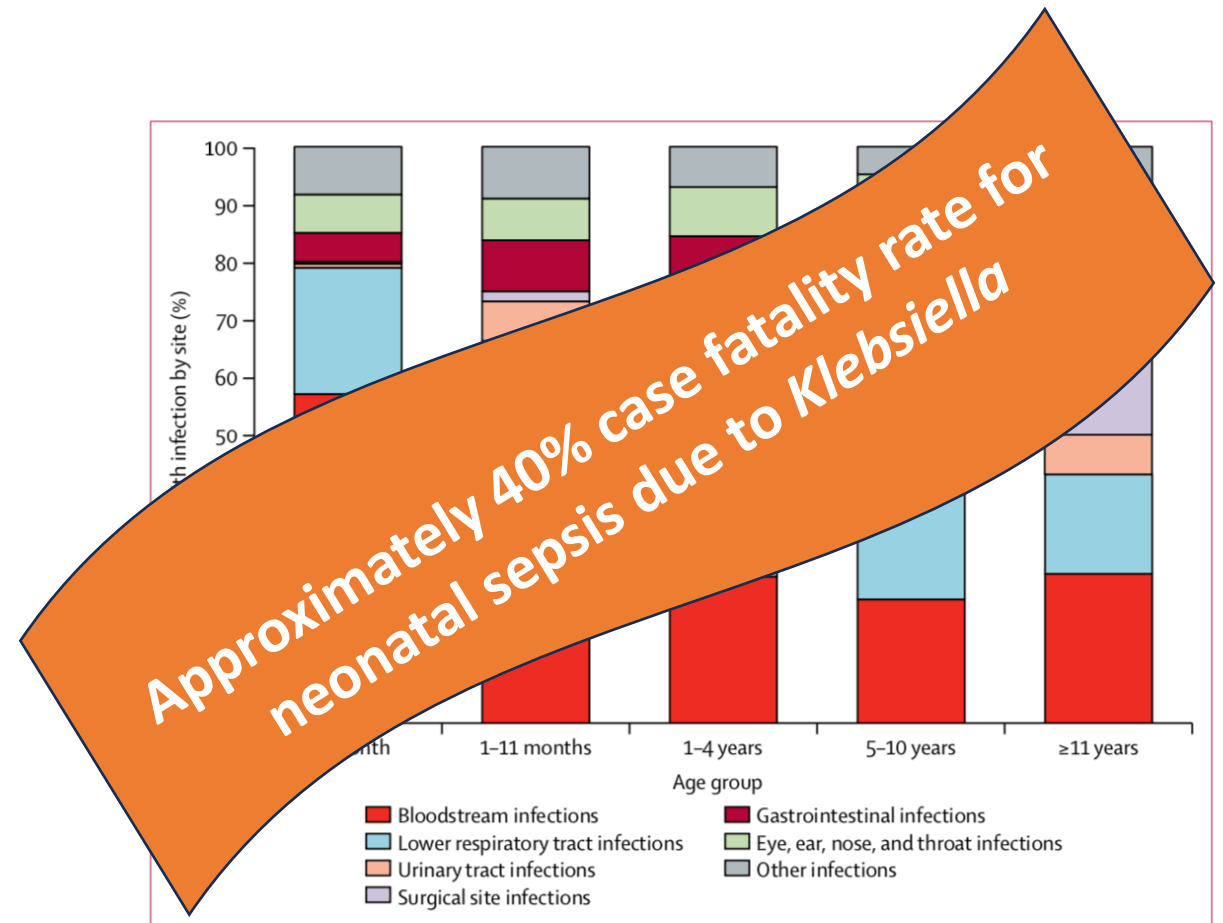
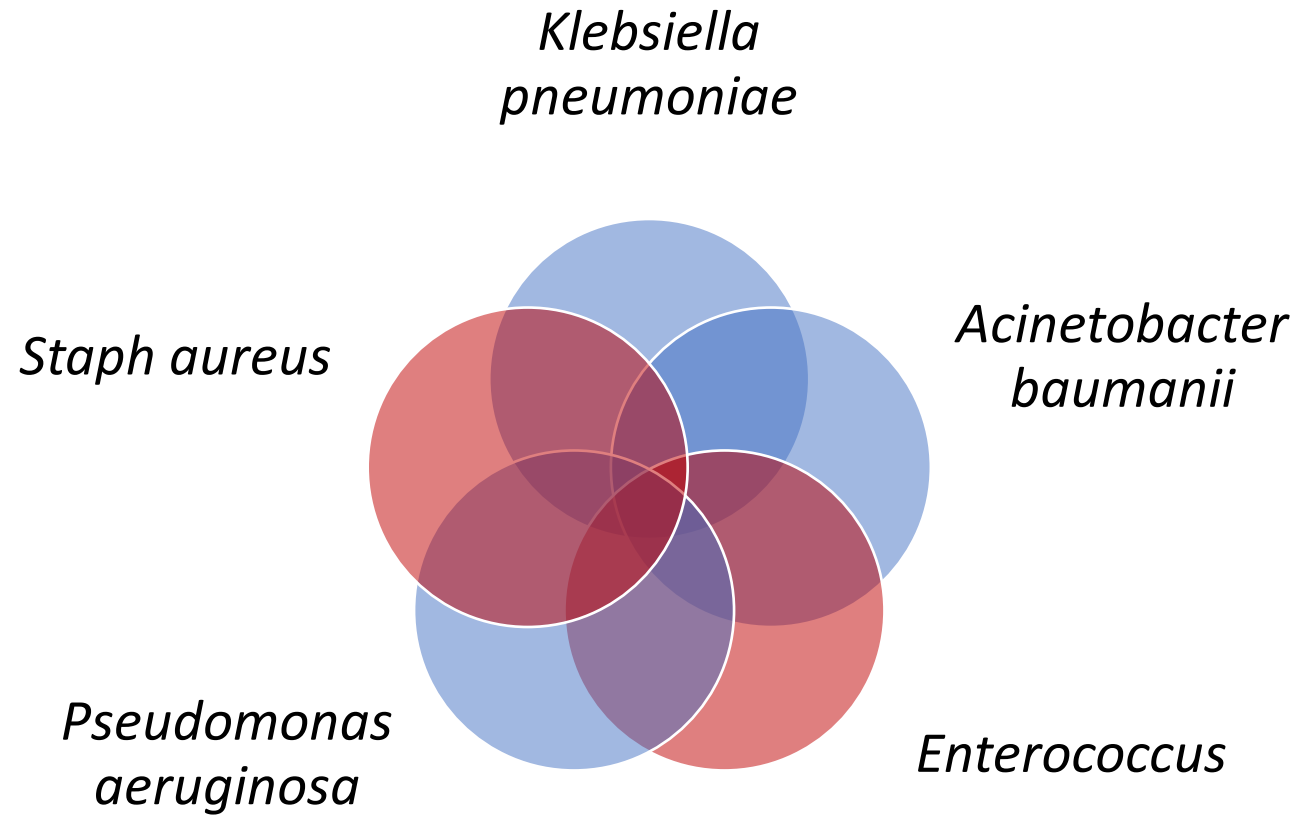


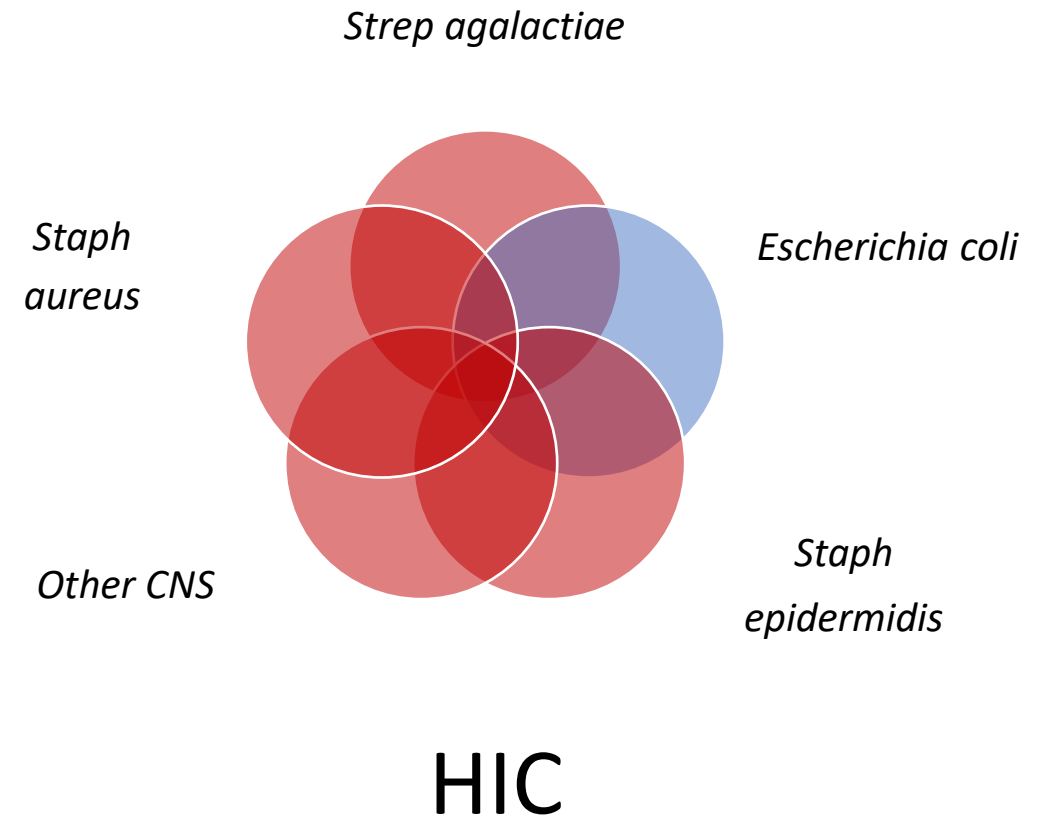
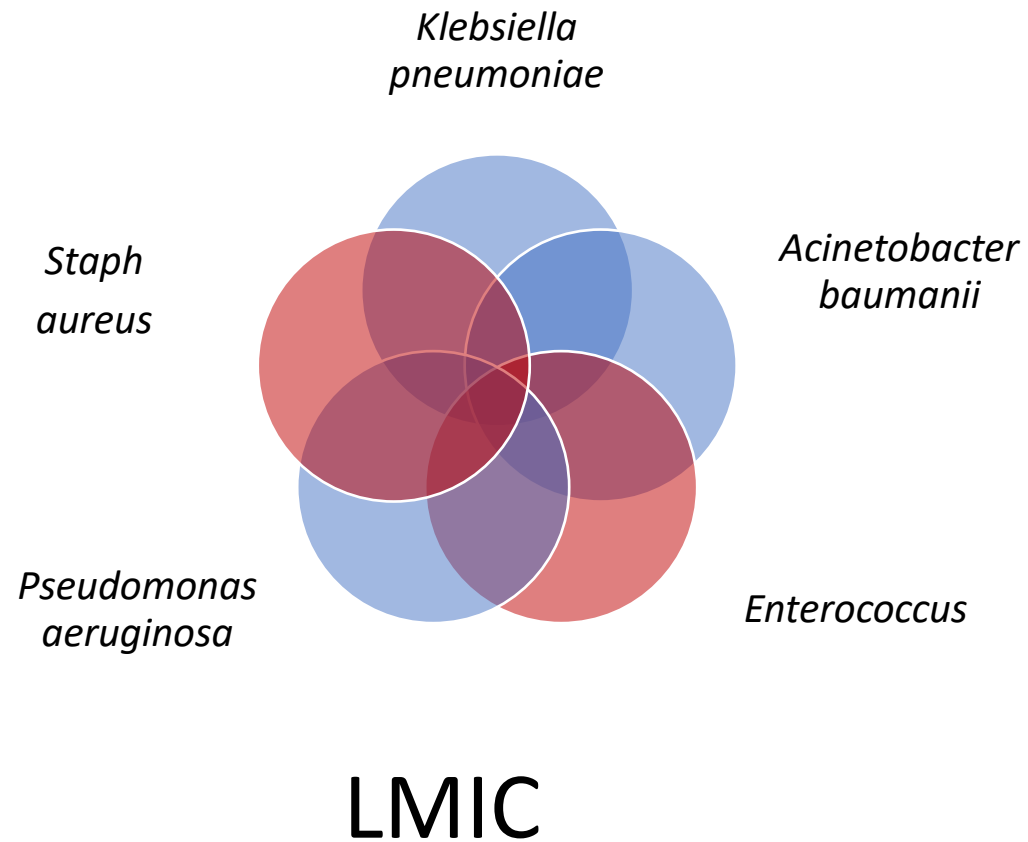
Figure 2: Distribution of health-care-associated infections in children, by age group



# Microbial “Players”

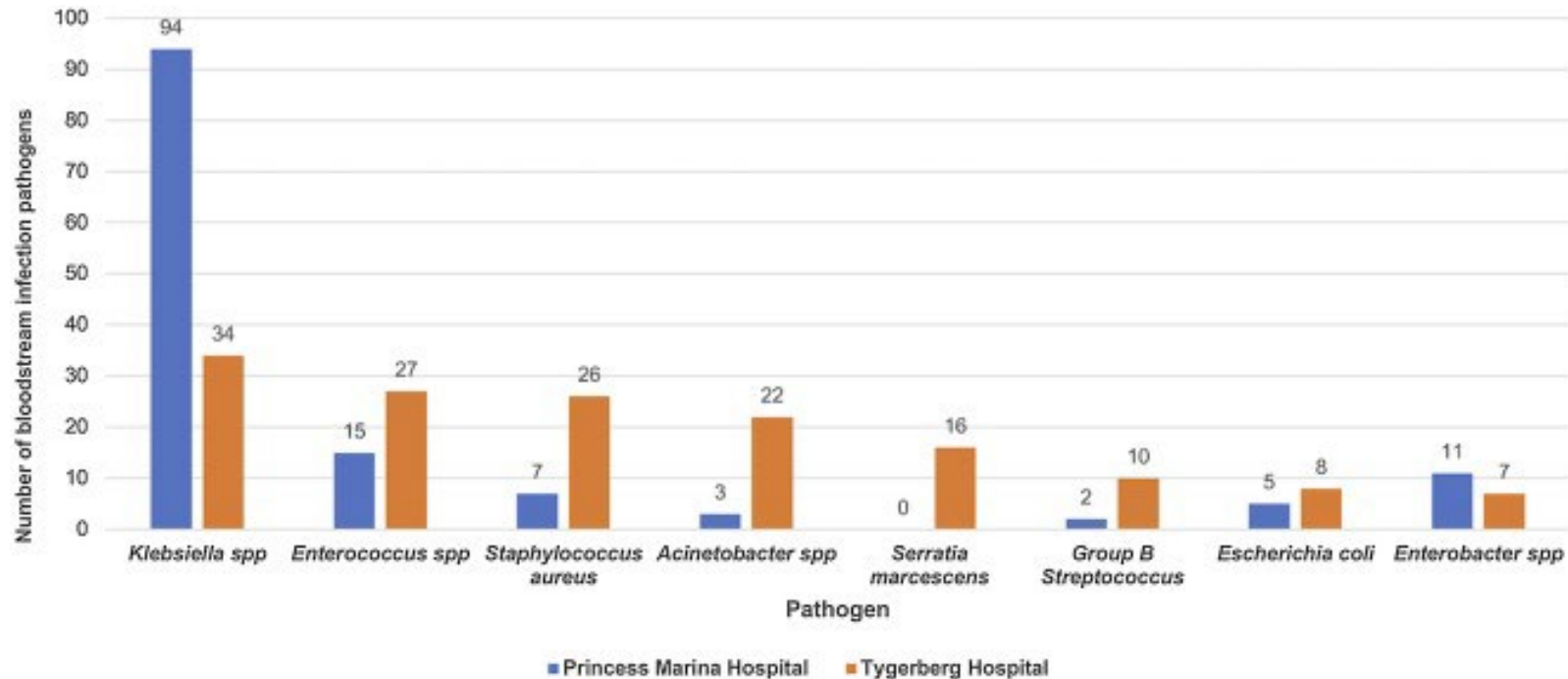


# Microbial “Players”



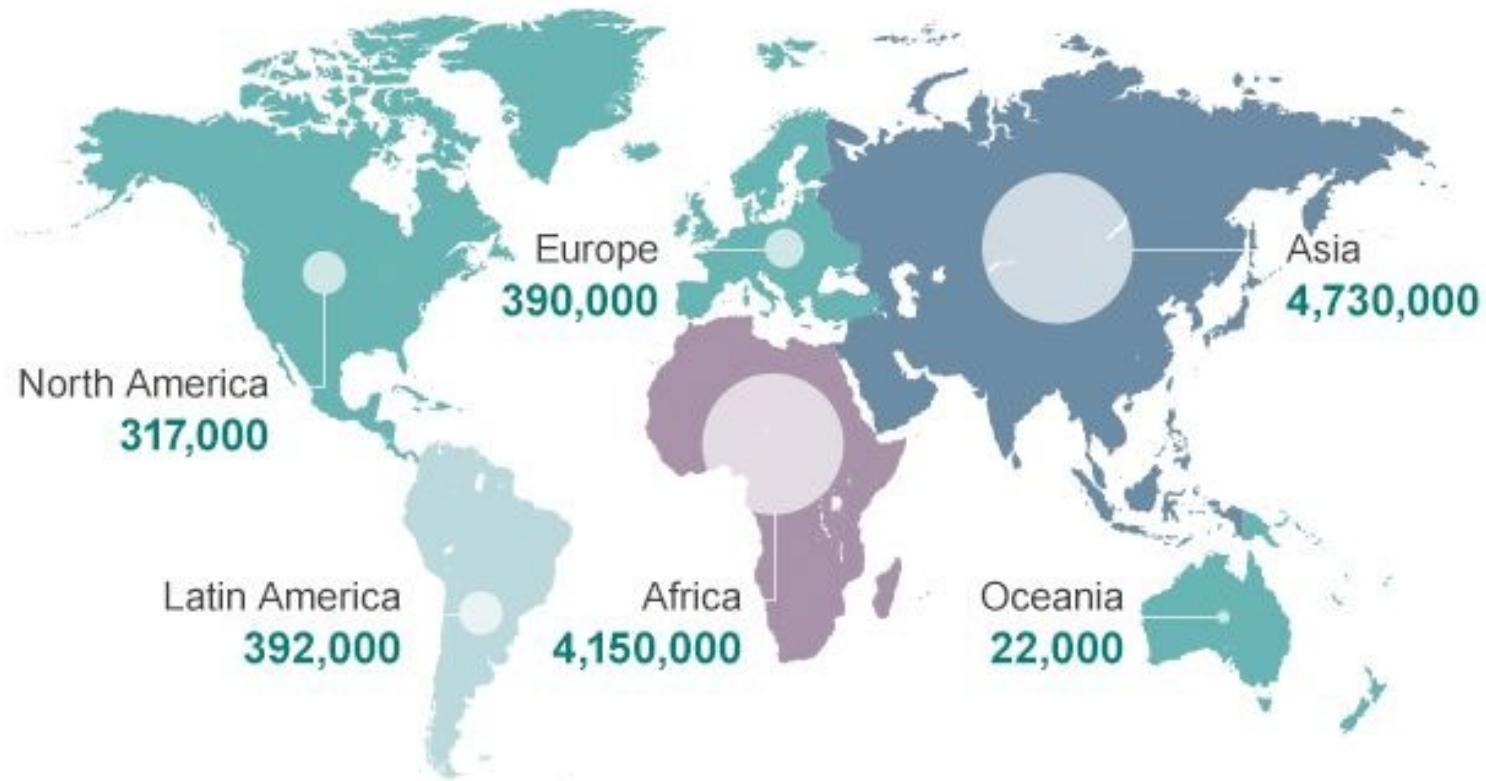
# Laboratory-confirmed bloodstream infections in two large neonatal units in sub-Saharan Africa

Alemayehu Mekonnen Gezmu<sup>1</sup>, Andre N H Bulabula<sup>2</sup>, Angela Dramowski<sup>3</sup>, Adrie Bekker<sup>4</sup>, Marina Aucamp<sup>5</sup>, Sajini Souda<sup>6</sup>, Britt Nakstad<sup>7</sup>





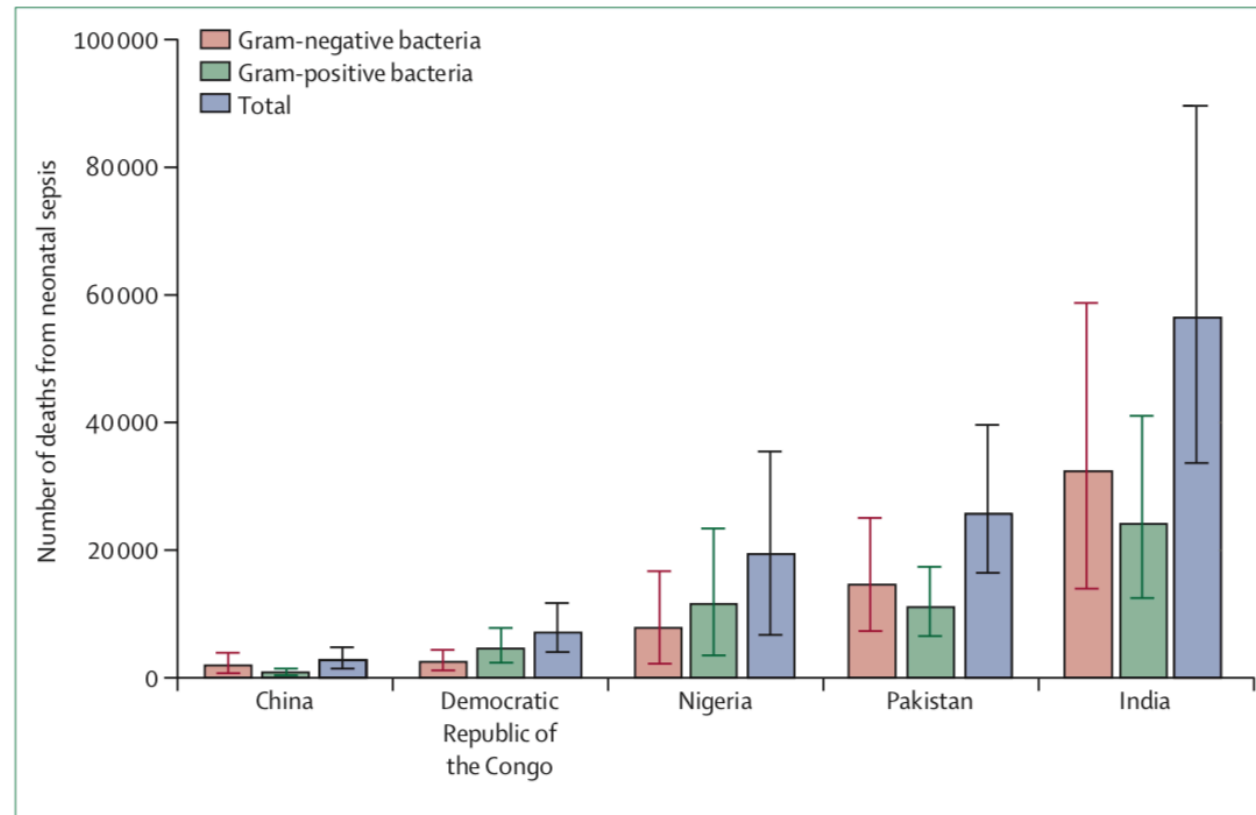
## Deaths attributable to antimicrobial resistance every year by 2050



Source: Review on Antimicrobial Resistance 2014



# Contribution of Resistant Pathogens to Neonatal Sepsis Deaths



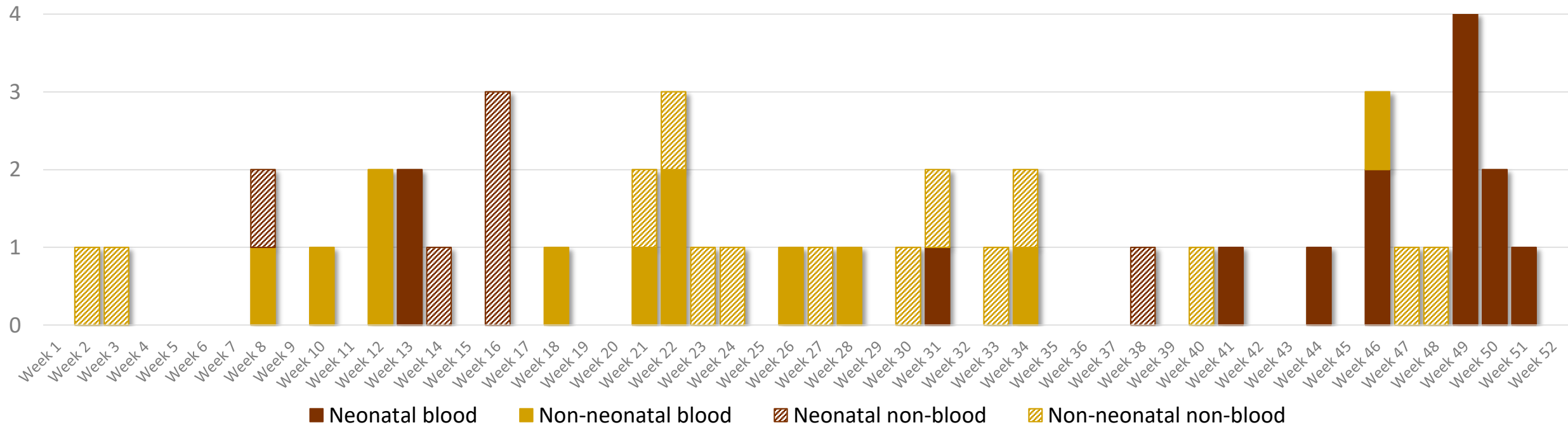
**Figure 2: Estimated neonatal sepsis deaths caused by bacteria resistant to first-line antibiotics in five high-burden countries**

Bars represent maximum and minimum values from Latin Hypercube Sampling model in appendix.

- Incidence of CRAb neonatal bloodstream infections are increasing:
  - 2012: 1%
  - 2017: 2%
  - 2021: 16%
    - Median age of symptom onset=4 days; case fatality rate=56%



Number of patients with new *Acinetobacter* infections, 2021

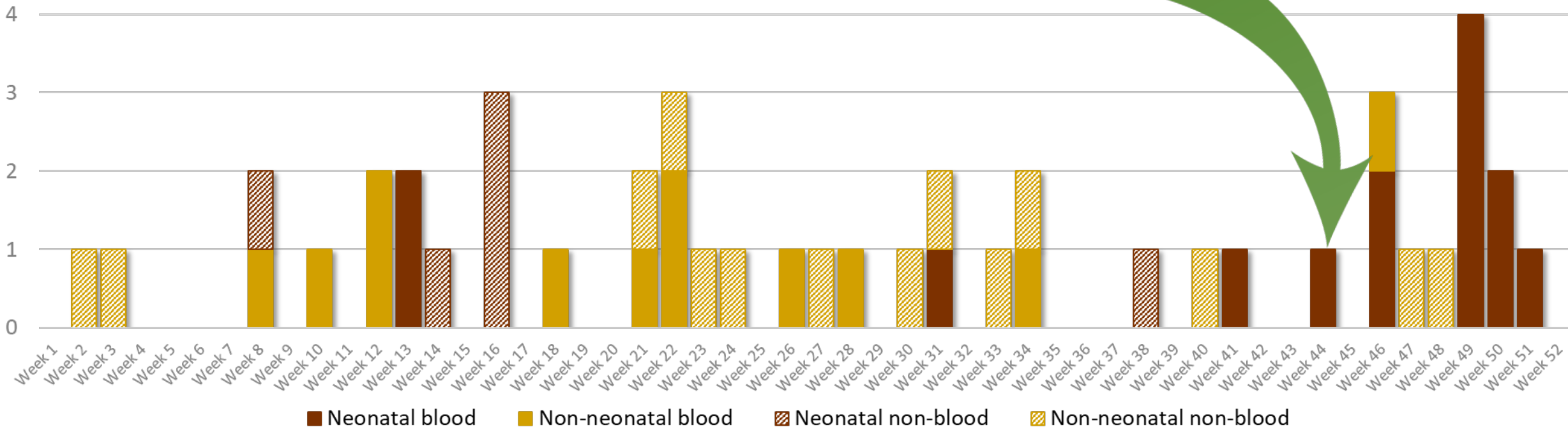


# Just one patient's story

- Premature neonate (born at 28 weeks, BW 785 gms)
- DOL 1: Infection suspected as cause of preterm birth → 1<sup>st</sup> line antibiotics (Amp & Gent) started
- DOL 11: Concern for sepsis → 2<sup>nd</sup> line antibiotics (Amikacin & Pip-tazo) started
- DOL 12: Blood culture grew CONS → switched to 3<sup>rd</sup> line antibiotic (Meropenem)
- DOL 15: Blood culture grew pan-resistant *Acinetobacter* → continued on Meropenem (Colistin was out of stock)



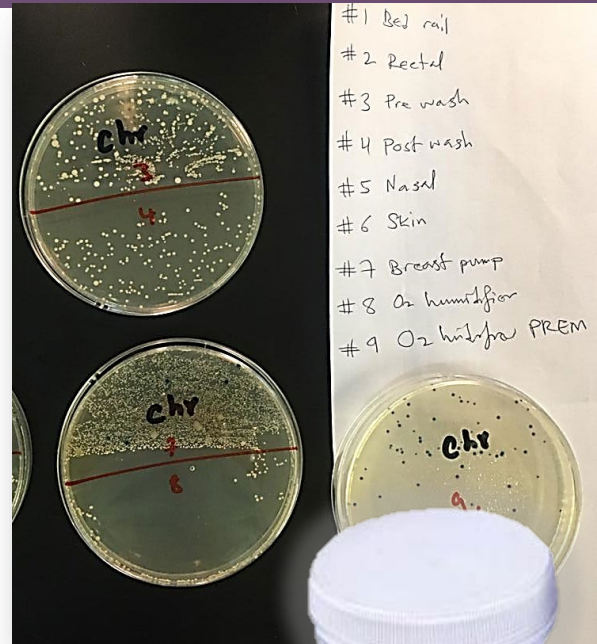
Number of patients with new *Acinetobacter* infections



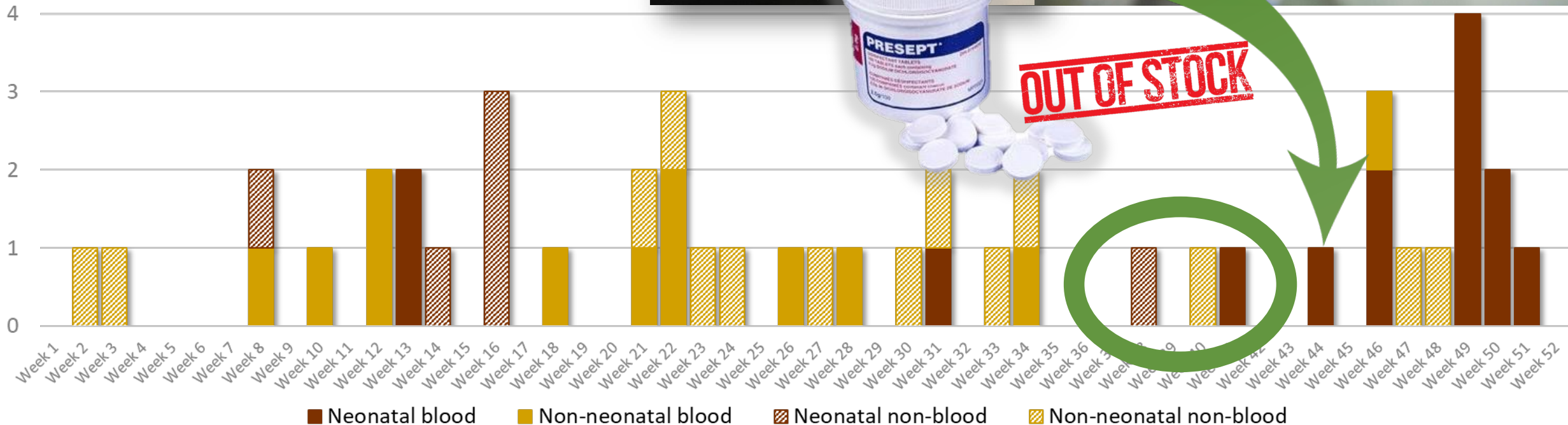


# Timing is Everything

- Unit in midst of an *Acinetobacter* cluster
  - bed rails
  - feeding equipment (breast pumps)
  - cleaning buckets
- Shortage of disinfectant suspected as cause of cluster
- Mother's breastpump subsequently grew *Acinetobacter*



Number of patients with new *Acinetobacter* infections



# Drivers of Neonatal Sepsis Outbreaks

Vurayai et al.  
*Antimicrobial Resistance & Infection Control* (2022) 11:14  
<https://doi.org/10.1186/s13756-021-01042-2>

Antimicrobial Resistance  
and Infection Control

## RESEARCH

## Open Access



### Characterizing the bioburden of ESBL-producing organisms in a neonatal unit using chromogenic culture media: a feasible and efficient environmental sampling method

Moses Vurayai<sup>1†</sup>, Jonathan Stryko<sup>2,3,4†</sup>, Kgomoiso Kgomanyane<sup>5</sup>, One Bayani<sup>2</sup>, Margaret Mokomane<sup>1</sup>, Tichaona Machiya<sup>5</sup>, Tonya Arscott-Mills<sup>2,3,4</sup>, David M. Goldfarb<sup>6</sup>, Andrew P. Steenhoff<sup>2,3,4,7</sup>, Carolyn McGann<sup>3,7</sup>, Britt Nakstad<sup>2,8</sup>, Alemayehu Gezmu<sup>2</sup>, Melissa Richard-Greenblatt<sup>7</sup> and Susan Coffin<sup>3,7</sup>

- Infection prevention efforts are often thwarted because of overcrowded wards, equipment re-use, limited laboratory capacity to detect and respond to outbreaks, and a critical shortage of healthcare workers to implement infection prevention and control measures.



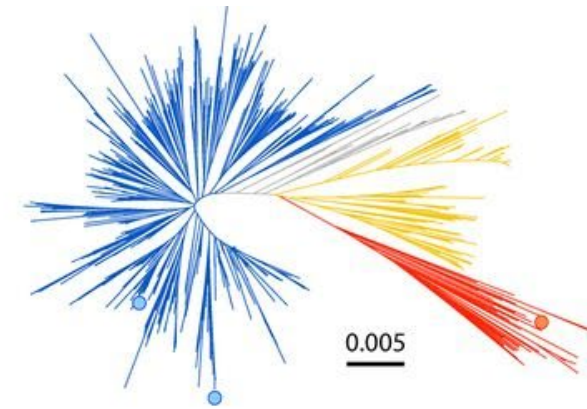
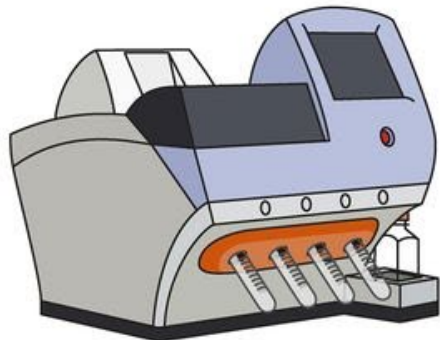
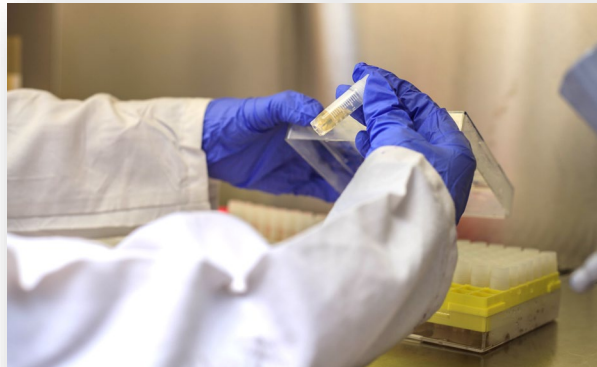




Whole genome sequencing (WGS), once considered cost-prohibitive, is proving to be a promising tool for outbreak detection in LMICs where traditional epidemiologic approaches fall short

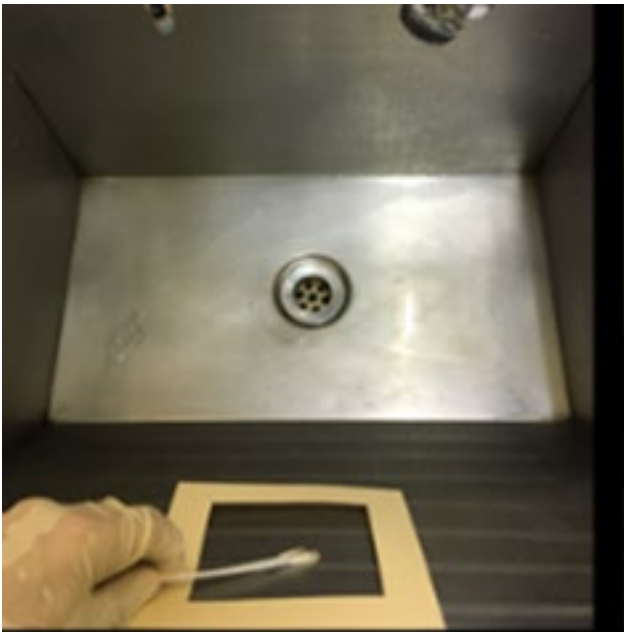
## Methods

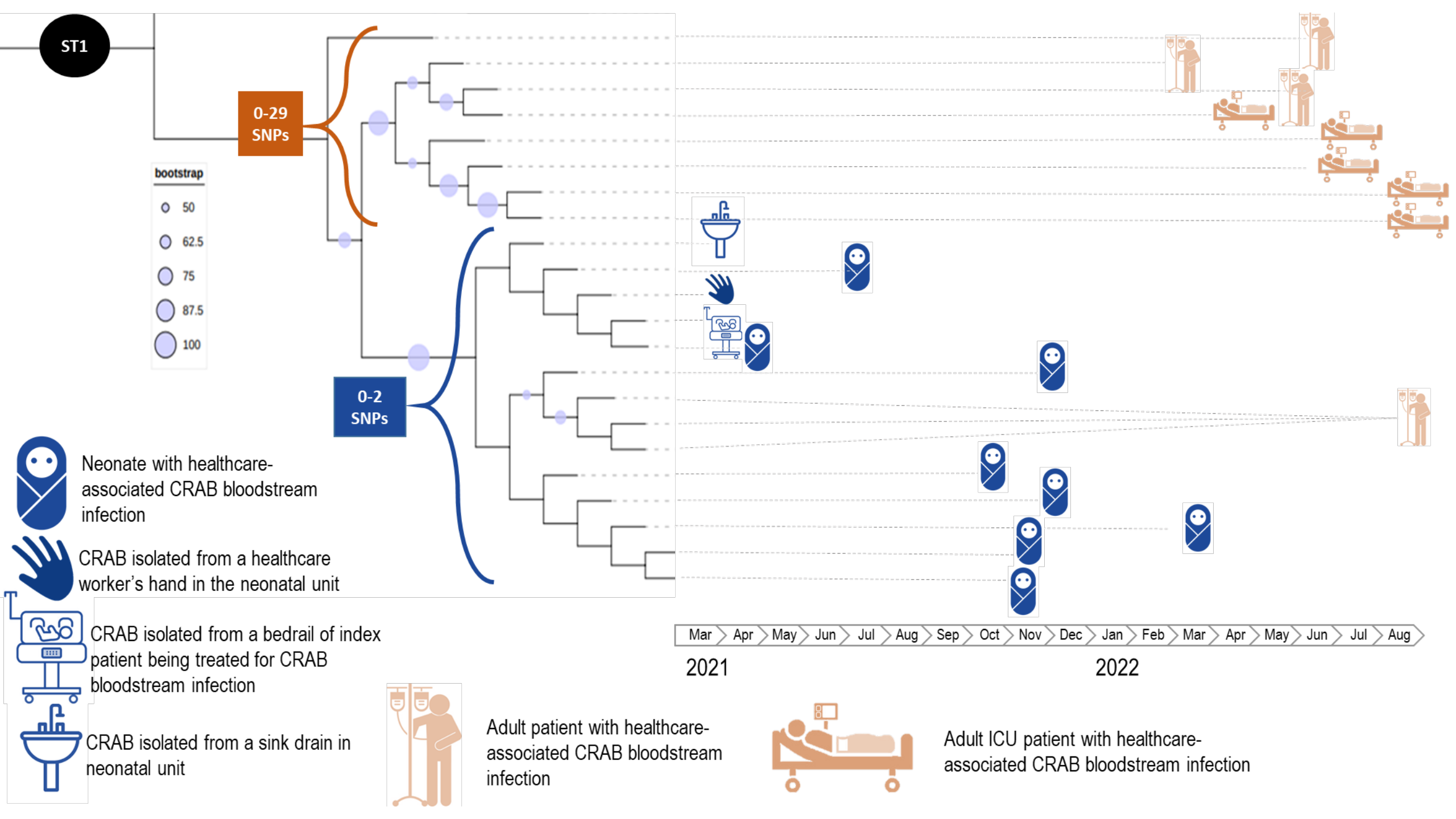
- We performed whole genome sequencing on 43 preserved clinical and environmental isolates collected in 2021-2022





- Phylogenetic analysis of the ST1 clone demonstrated spatial clustering by hospital unit
- Related isolates spanned wide ranges in time ( $>1$  year), suggesting ongoing transmission from environmental sources
- A neonatal clade (0-2 SNPs) containing all 8 neonatal blood isolates was closely associated with 3 environmental isolates from the neonatal unit: a sink drain, bed rail, and a healthcare worker's hand



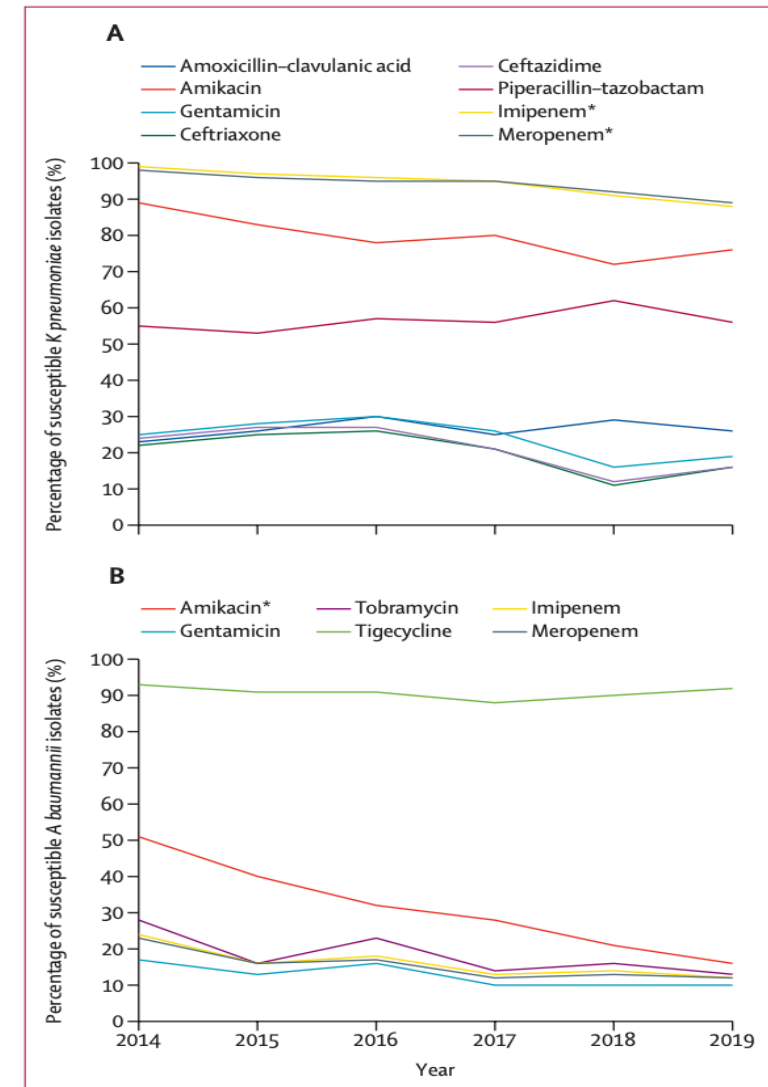




*Prevention Matters*

# Preventing HAI = Preventing Antimicrobial Resistance

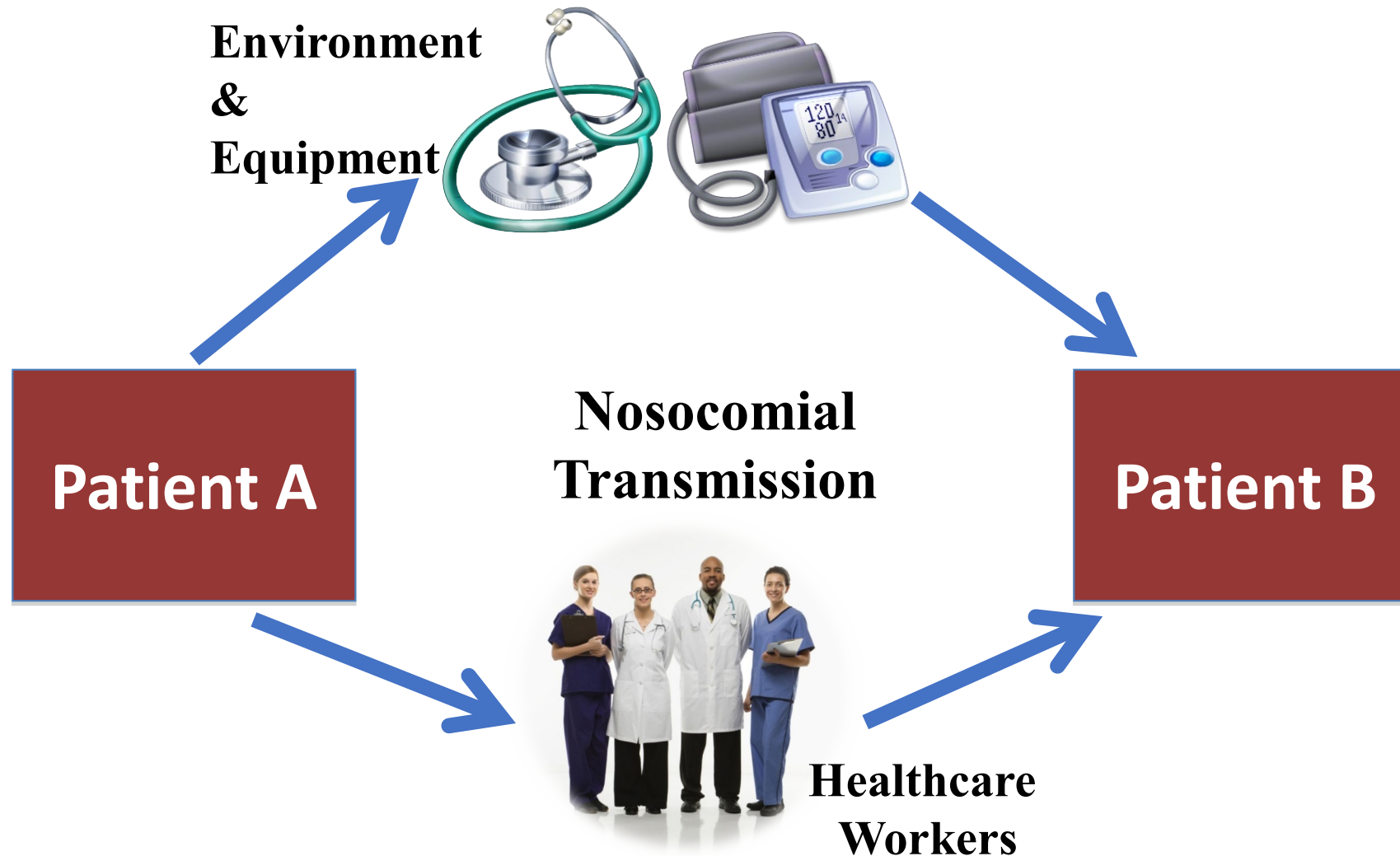
- Proportion of HAI caused by resistant organism can exceed 80% in some settings
- 3x greater risk of death if infection is due to a resistant organism



**Figure 3: Antimicrobial susceptibility of *Klebsiella pneumoniae* and *Acinetobacter baumannii* isolates among neonates with culture-confirmed bloodstream infection or meningitis**  
(A) *Klebsiella pneumoniae*. (B) *Acinetobacter baumannii*. \* $p < 0.05$ .

# Preventing Transmission



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# Preventing Spread of Resistant Organisms\*

- Hand hygiene
- Environmental cleaning
- Contact precautions
- Isolation (if available)
- Surveillance (clinical +/- surveillance cultures)
- Monitoring, auditing, and feedback

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\*adapted from WHO CRE Guideline, 2017.



# Sepsis Prevention in



# Neonates in Zambia



## **Low-cost infection control strategies:**

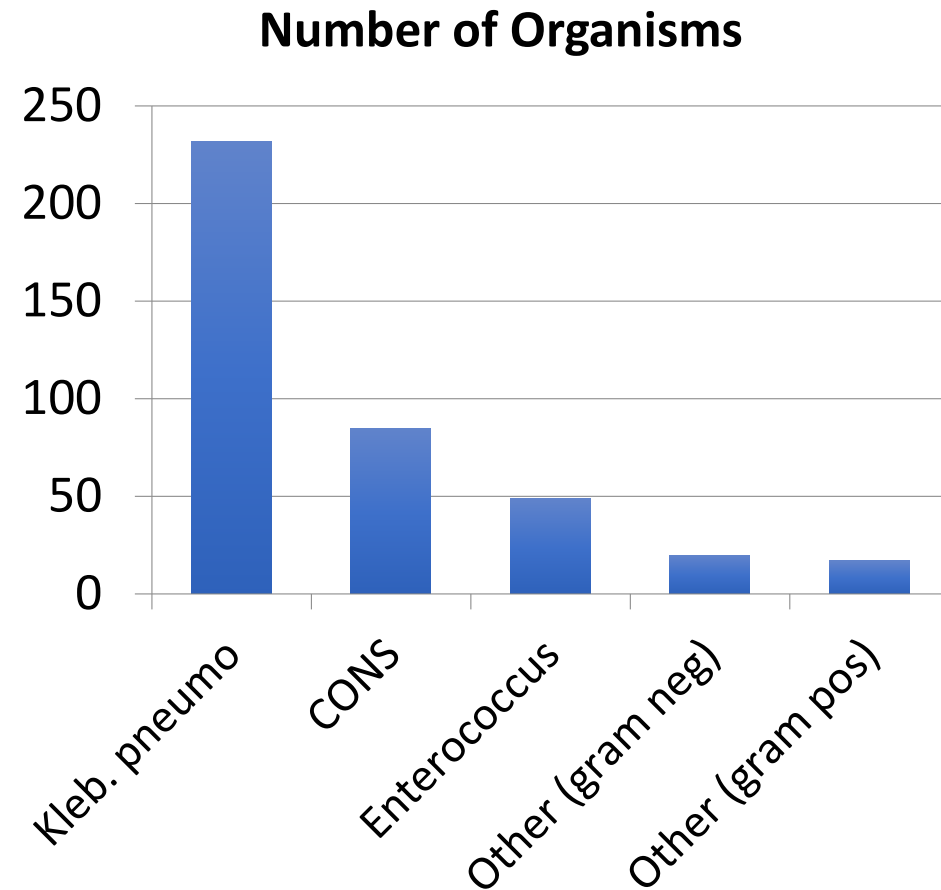
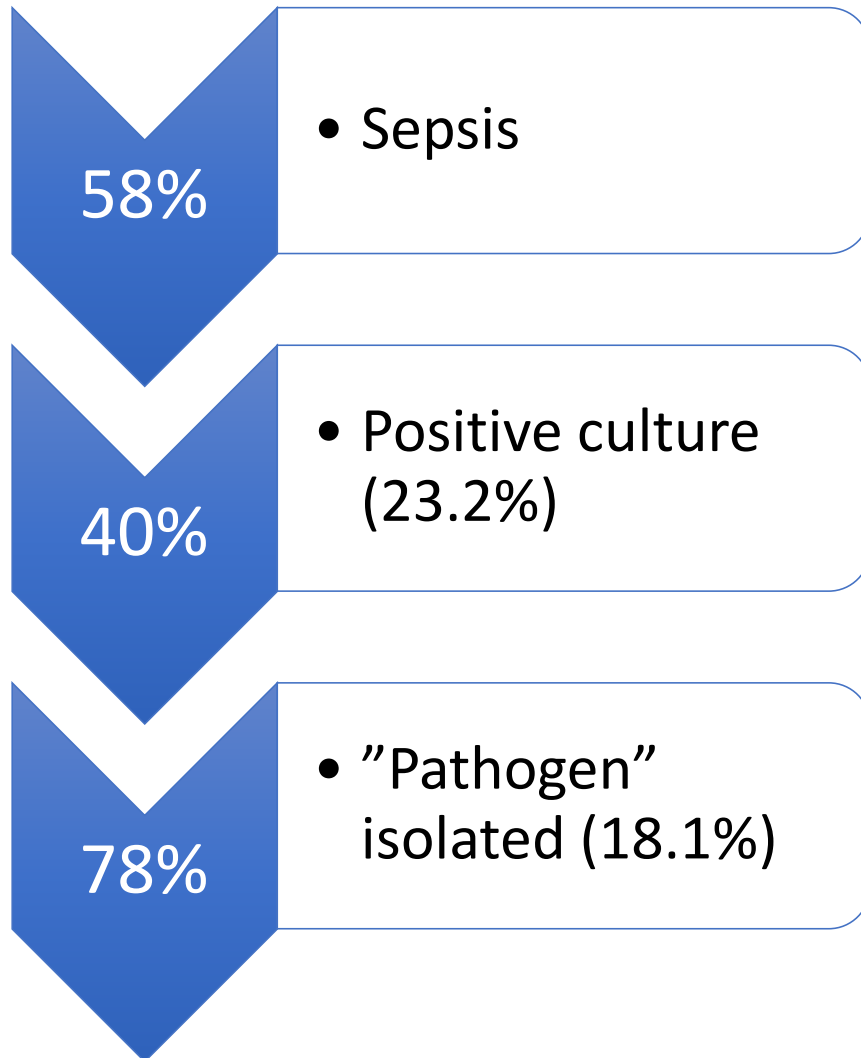
- IPC training NICU and L&D staff
- Alcohol-based hand rub
- 2% chlorhexidine bathing
- Targeted cleaning
- Text message-based reminders

# Study Outline

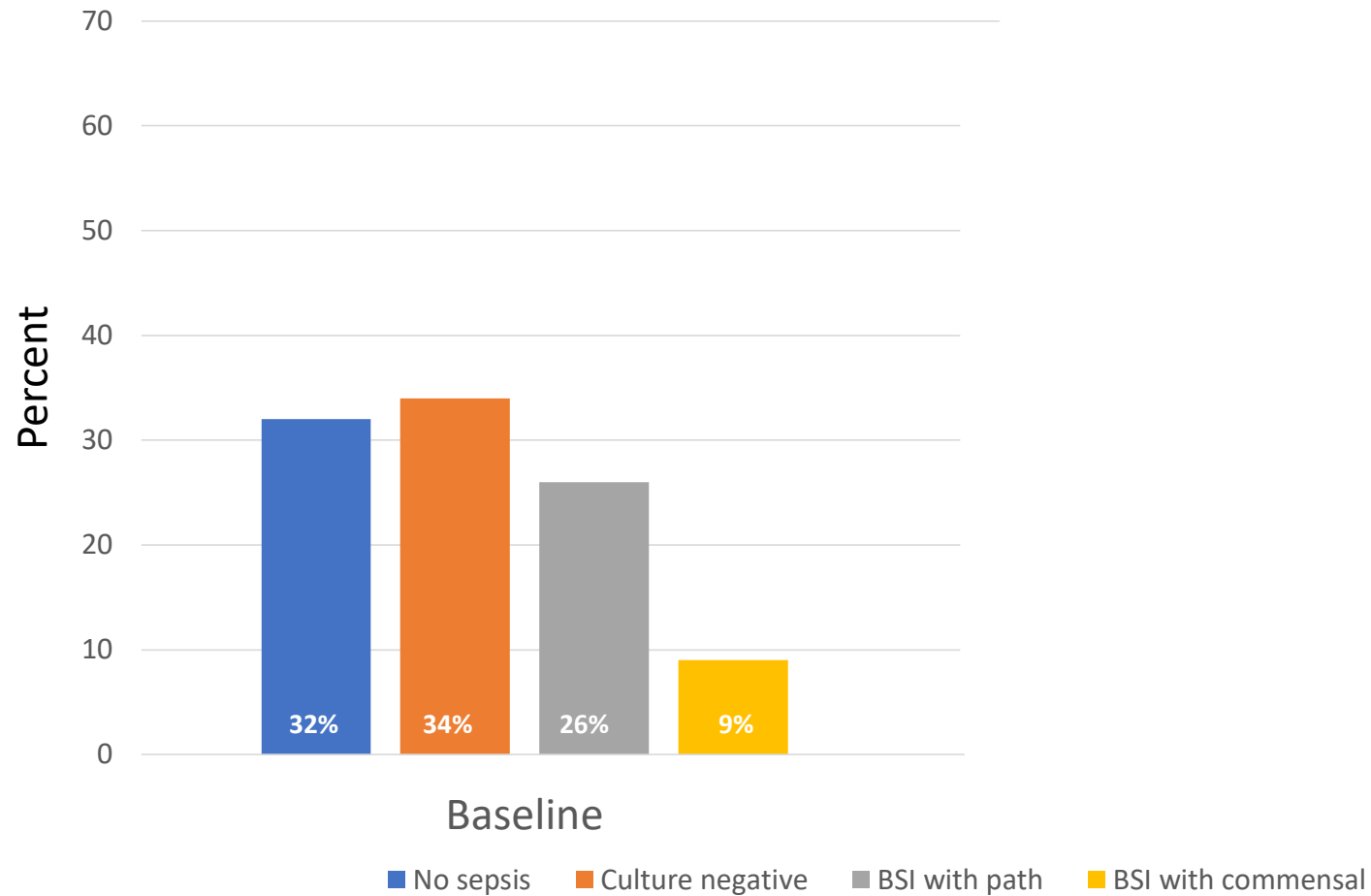
- Recruit neonates admitted to NICU
- Maternal interview and chart review
- Blood culture if clinical sepsis suspected
  - Temp instability
  - Tachycardia
  - Respiratory distress/apnea



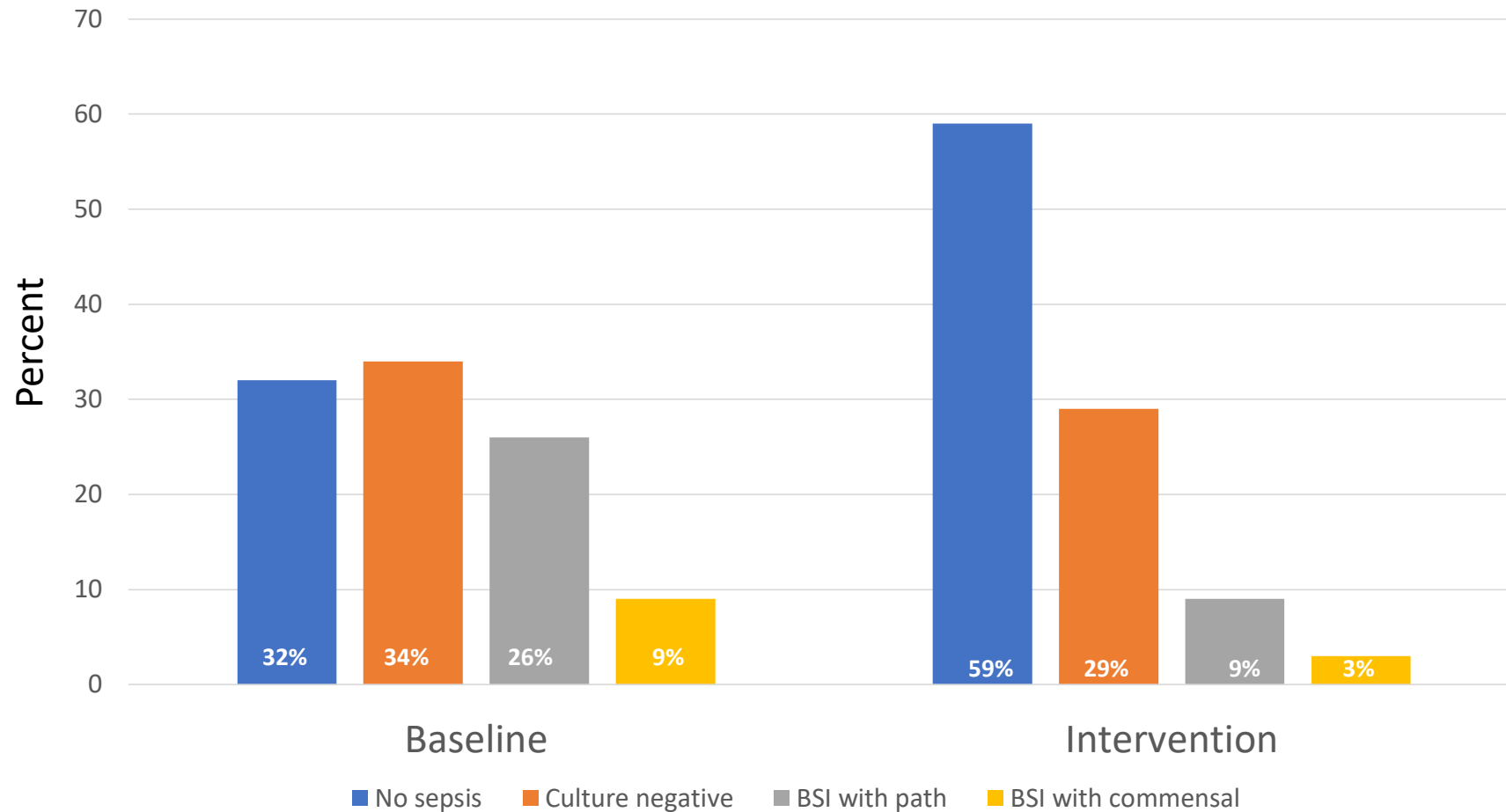
# Overall Neonatal Sepsis



# Infectious Outcomes By Phase of Intervention

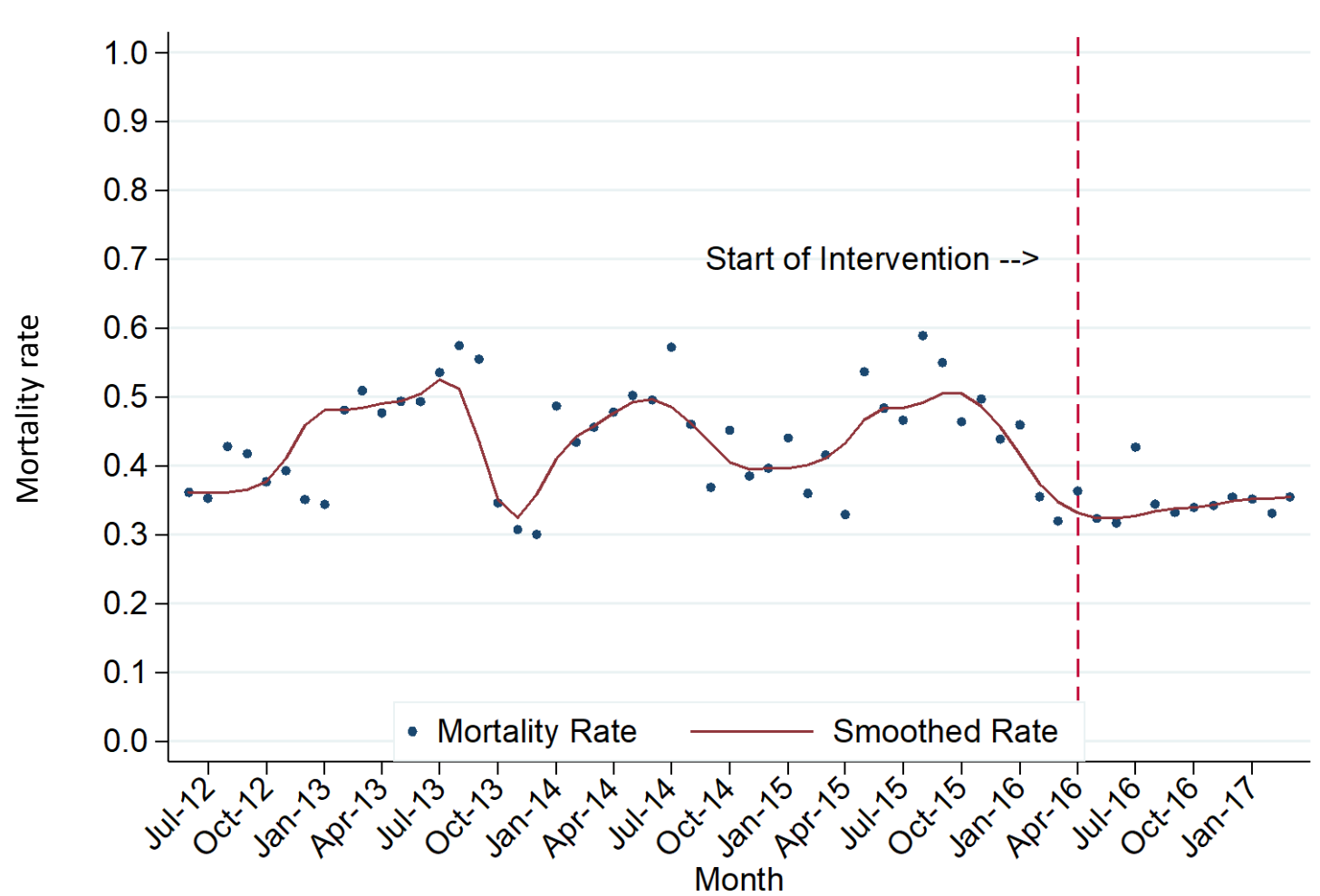


# Infectious Outcomes By Phase of Intervention



***Similar trends seen when stratified by birthweight category, except for infants <1 kg***

# All-Cause Mortality



# Factors Associated with Death Among Septic, Culture-positive Patients\*

	aOR (95% CI)
<i>Klebsiella</i> infection	2.43 (1.52- 3.87)
Neonatal weight (kg)	0.54 (0.41-0.70)
C-section delivery	0.72 (0.37-1.39)
Born at study hospital	0.90 (0.55-1.48)
Maternal HIV-positive	0.80 (0.46-1.38)

\*preliminary analysis

# Conclusions

- Neonatal sepsis driven by unintended consequences of “advancing perinatal care”
- Neonatal mortality due to sepsis strongly associated with pathogen mix and prevalence of AMR organisms
- Prevention is possible...but requires resources!



# Acknowledgements

## SPINZ

- David H. Hamer, MD
- Lawrence Mwananyanda, MD
- Cassandra Pierre, MD
- James Mwansa, PhD
- Angela Lyondo and Mox
- Monica Kapasa, MBChB
- Sylvia Machona, MBChB
- Moses Chilufya Malama, MSc
- Surabhi Bhatt
- Chileshe Lukwesa-Musyani, MBChB, MPH, MSc
- Gertrude Munanjala
- Nellisiwe Chizuni
- Matthew Bates, PhD
- Aja Griffin, MPH
- Caitryn McCallum, MPH
- Russell Localio, JD, PhD
- Carter Cowden, MPH



## NIMBI

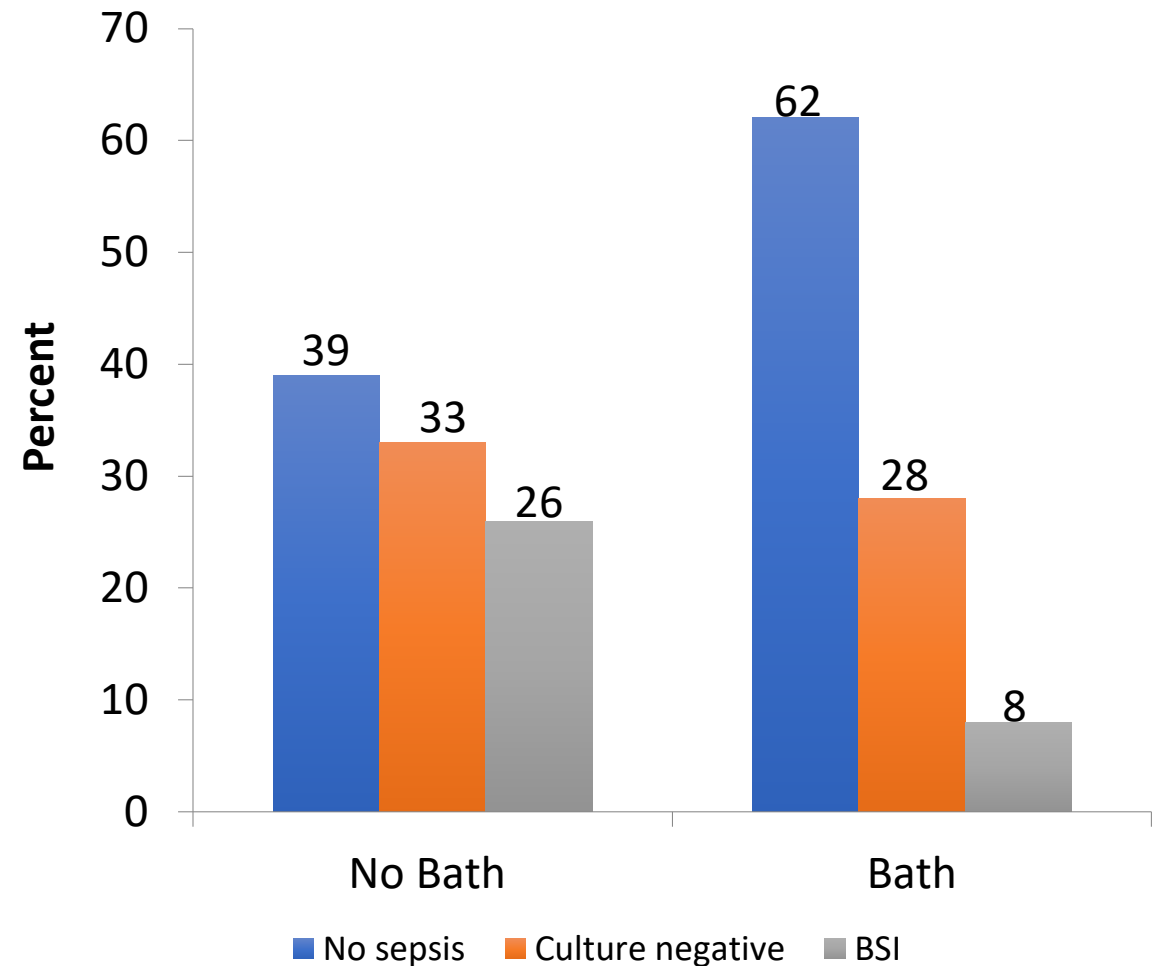
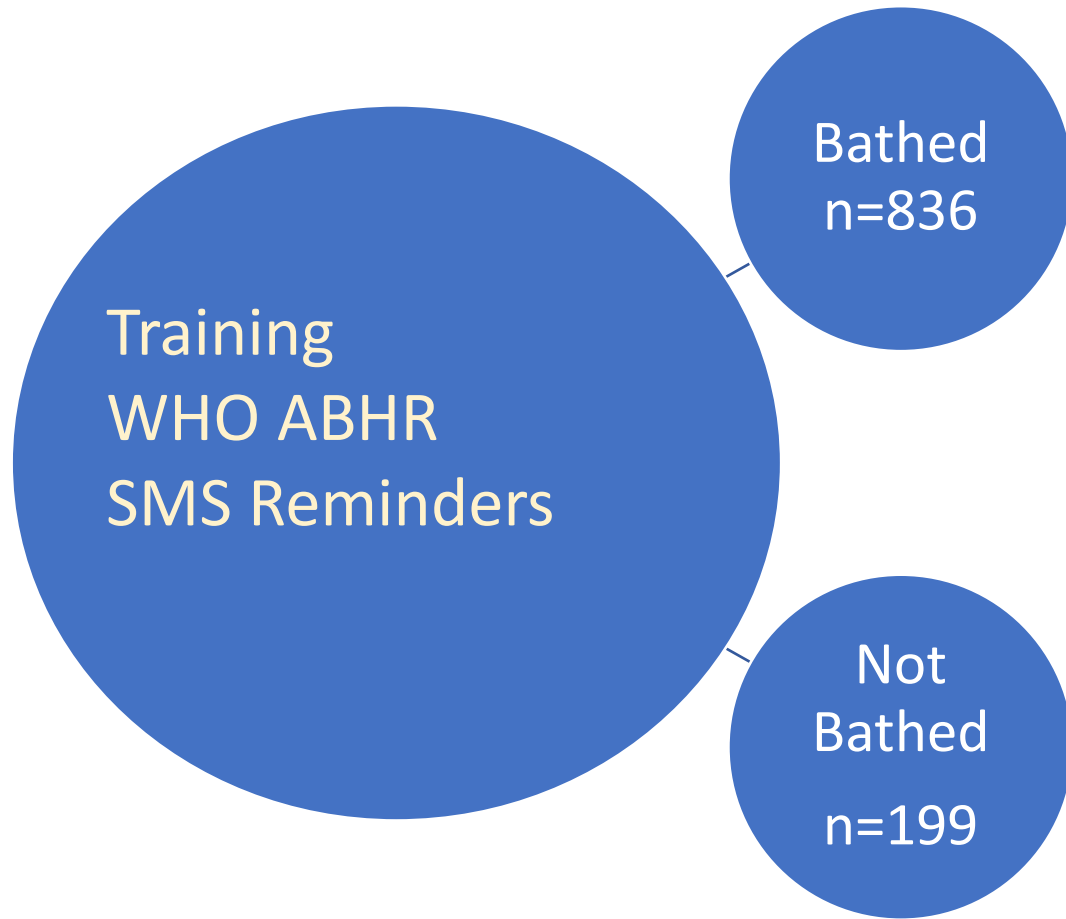
- Jonathan Stryko
- Carolyn McGann
- Morgan Hammerschaimb
- Britt Nakstad
- Alemayhu Gemzu
- Andrew Steenhoff
- Paul Planet
- Kyle Bittinger
- Ahmed Moustafa
- Jameson Dowling
- Janet Moorad
- One Bayani



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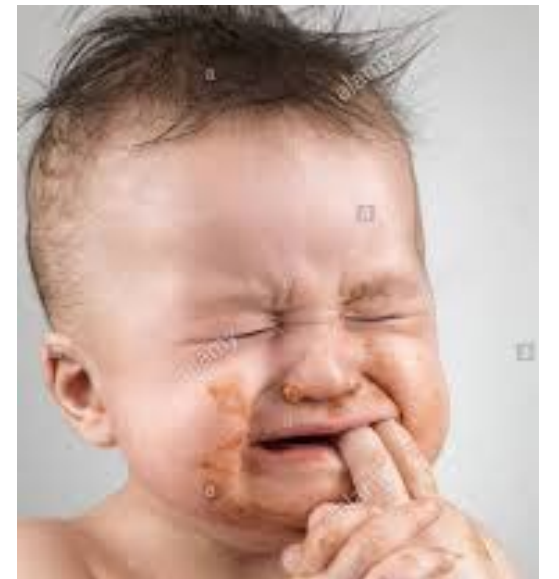


# Infection Control Bundle and Unadjusted Outcomes, babies with birthweight $\geq 1.5$ kg



# Causal Inference Methods

- To adjust for potential confounding
- Constructed subcohort
  - Inborn babies  $\geq 1.5$  kg
  - Implementation and intervention phases
- Compared two models to adjust for potential confounding
  - Cox proportional hazards model
  - Longitudinal Targeted Maximum Likelihood Estimate (LTMLE)

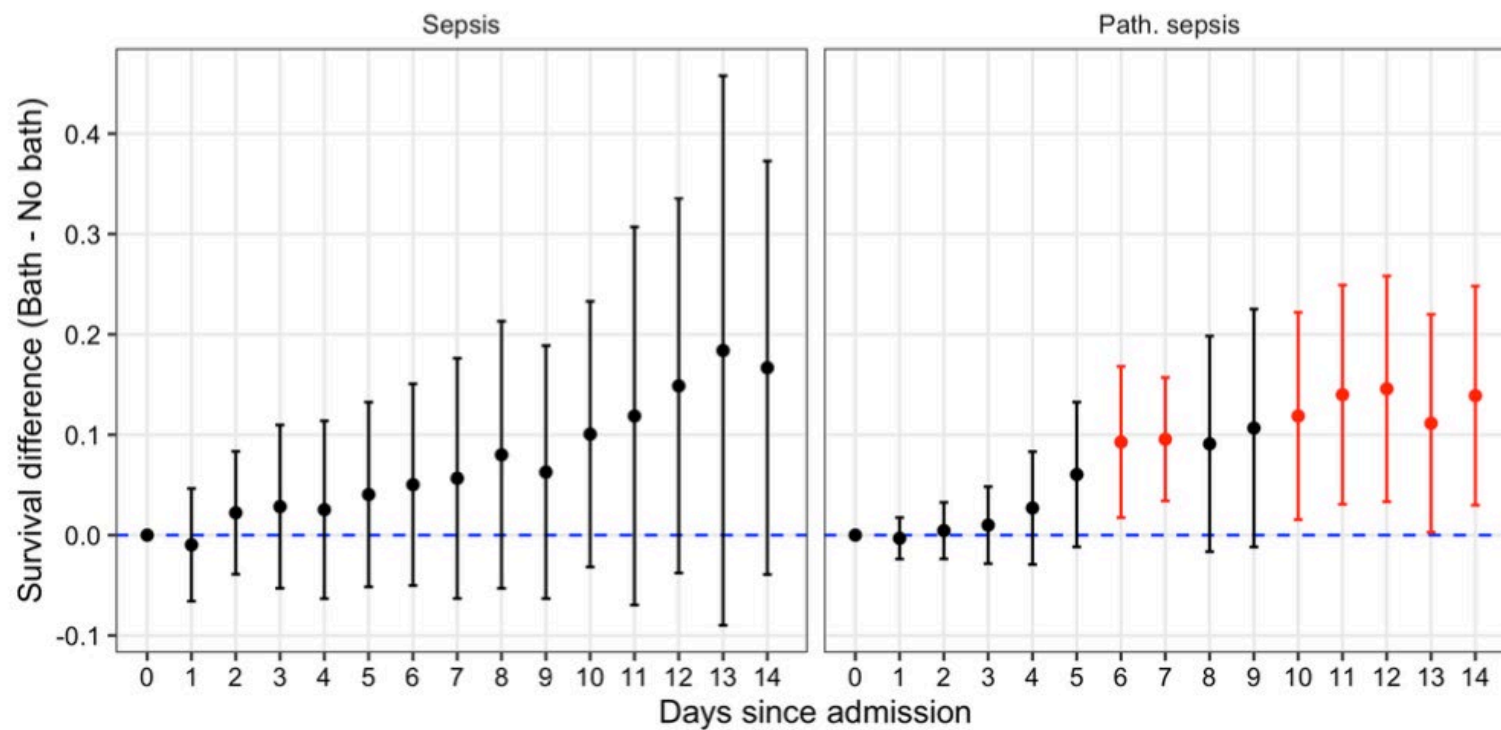
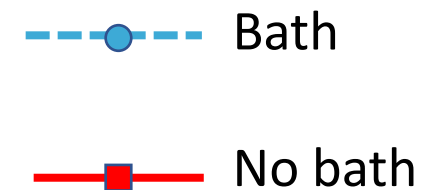
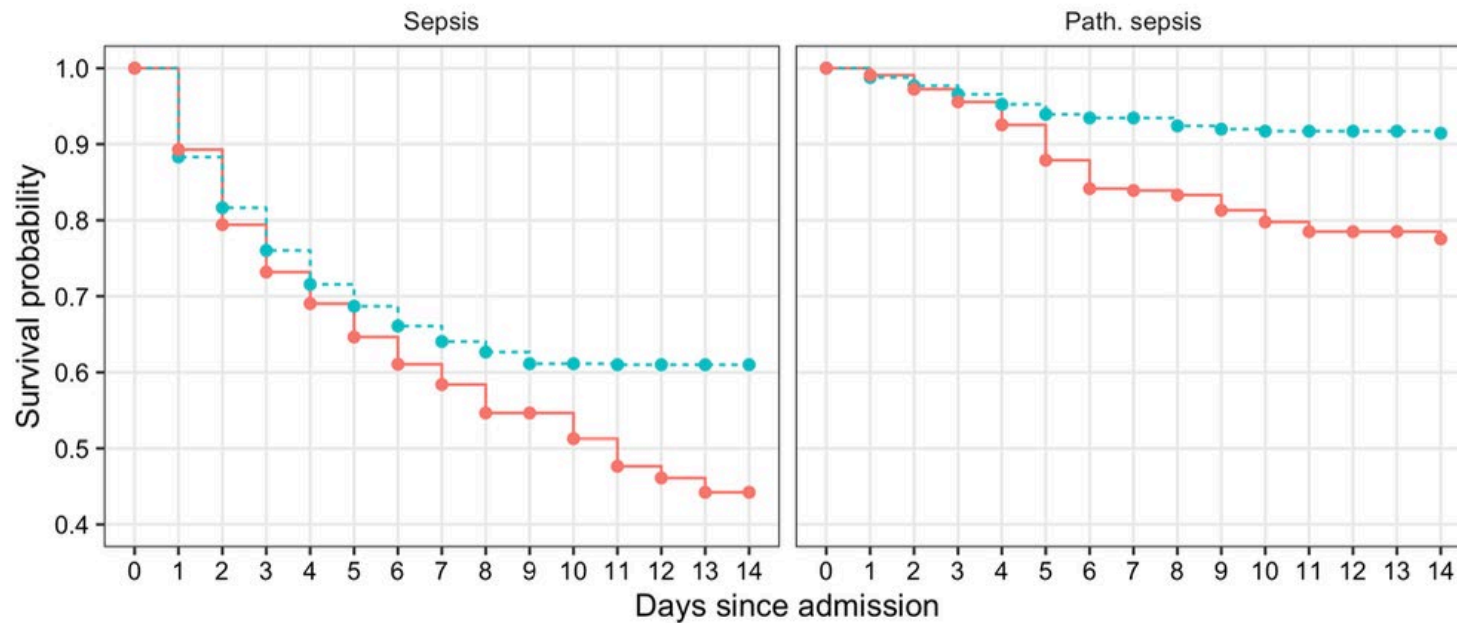


# Multivariable Cox Models

Outcome	Hazard ratio* (95% CI)	p-value	# events
Sepsis	1.05 (0.76, 1.45)	0.75	249
Path. sepsis	0.48 (0.24, 0.95)	0.035	48
Culture-neg. sepsis	1.17 (0.81, 1.69)	0.39	199
Sepsis w/contam.	1.45 (0.19, 11.12)	0.72	9
Death	0.83 (0.56, 1.23)	0.35	163
Sepsis or death	0.89 (0.69, 1.16)	0.40	350
Path. sepsis or death^	0.73 (0.51, 1.03)	0.07	198

\*adjusted for FIXED VARIABLES: sex, BW, HIV, education, # prenatal visits, prenatal infxn and abx, delivery method  
adjusted for TIME VARYING VARIABLES: device use, receipt of O2, CPAP, abx

^to account for competing hazard of death





# Summary

- Admission bathing of babies  $\geq 1.5$  kg with 2% CHG was associated with reduced risk of sepsis due to a pathogenic organism when applied in presence of other IPC interventions
- Finding is robust when adjusting for maternal, neonatal, and post-natal time-varying exposures using two different analytic techniques.